



NYCDOT TRANSPORT REFRIGERATION UNIT (TRU) INCENTIVE PROGRAM 2018 APPLICATION

I. APPLICANT INFORMATION (REQUIRED INFORMATION)													
Applicant Name/Registered Owner (Equipment Owner)													
Business Name													
Street Address													
City		State		ZIP									
Authorized Contact Full Name					Title								
Phone			E-mail Address										
Trailer Fleet Size: _____ Number of Trailers equipped with TRUs: _____		<i>“Trailer Fleet Size” means the number of trailers owned by the applicant that are not registered as an on-road vehicle, and their primary use is cold storage.</i>											
Important Program Information:													
<ul style="list-style-type: none"> All completed applications will be considered on a first-come, first serve basis. Only applications that are considered complete will move forward. Original ink signature applications must be submitted for an application to be complete. Applications submitted with altered language or forms will be void. You may not order or purchase any TRU for the qualifying trailer prior to obtaining approval from the New York City Department of Transportation (NYCDOT) or the TRU Incentive Program Administrator. Funded TRUs must be installed and placed into operation no later than June 15, 2018. Any awarded incentives will cover up to, but no more than, 50% of the TRU purchase price, or a maximum of \$10,000 per qualifying trailer, whichever is less. 													
<table border="1" style="width: 100%; border-collapse: collapse; margin-left: 20px;"> <thead> <tr> <th style="padding: 5px;">Hour of Operation Per Unit</th> <th style="padding: 5px;">Maximum Dollar Amount</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">30,000 or Less Hours</td> <td style="padding: 5px;">\$10,000 or 50% of TRU Cost, whichever is less.</td> </tr> <tr> <td style="padding: 5px;">30,001 to 40,000 Hours</td> <td style="padding: 5px;">\$8,000 or 40% of TRU Cost, whichever is less.</td> </tr> <tr> <td style="padding: 5px;">40,001 or More Hours</td> <td style="padding: 5px;">\$6,000 or 30% of TRU Cost, whichever is less.</td> </tr> </tbody> </table>						Hour of Operation Per Unit	Maximum Dollar Amount	30,000 or Less Hours	\$10,000 or 50% of TRU Cost, whichever is less.	30,001 to 40,000 Hours	\$8,000 or 40% of TRU Cost, whichever is less.	40,001 or More Hours	\$6,000 or 30% of TRU Cost, whichever is less.
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<ul style="list-style-type: none"> Incentive amounts will be distributed to the program approved vendor upon vendor receipt of applicant’s matching funds; completion of a work certificate by the vendor; and applicant’s final acceptance of the TRU work performed on the trailer. Completion of this application and submission of all supporting documentation does not guarantee that you will receive program funding. Maximum number of TRUs per applicant cannot exceed 5 TRUs or as approved by the Program Administrator. If applications for TRU replacement funding exceeds available funds the Program Administrator reserves the right to reduce the maximum number of TRUs per applicant. 													
Eligibility Criteria for Qualifying Trailer:													
<ul style="list-style-type: none"> Trailer must be stationary and not be registered as an on-road vehicle. Trailer must have clear Title (proof of ownership is required). 													
Eligibility Criteria for Qualifying Transport Refrigeration Unit:													
<ul style="list-style-type: none"> TRU must be operational and in service on the qualifying trailer. TRU engine must be previously certified with Tier 0, Tier 1 or Tier 2 emission standards and TRU must not exceed 50 horsepower. TRU must be scrapped upon replacement. TRU inspection will be performed by the program administrator to verify eligibility. Substitutions for the Qualifying TRU will not be accepted after application approval. 													
Replacement Transport Refrigeration Unit Must:													
<ul style="list-style-type: none"> TRU must be purchased new and ownership retained for a minimum of 3 years and TRU must operate within New York City. TRU engine must meet Tier 4 Final or ultra-low- emission standards. TRU must be electric standby, hybrid-electric or electric-only equipped. TRU must include proof of manufacturer warranty and TRU installation must be performed by an approved vendor. TRU must not exceed 50 horsepower. TRU inspection will be performed by the Program Administrator to verify compliance. 													

II. SUPPLEMENTAL INFORMATION - PLEASE ANSWER THE FOLLOWING QUESTIONS:		
Number of TRUs to be replaced:		
Have you applied for any other grant programs for the trailers listed in the application?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
If yes, specify the grant program(s) that you applied to:		
Do you currently have electric plug-in capability at your freight facility loading dock?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Do you currently have electric plug-in capability at your freight facility parking spot?	YES <input type="checkbox"/>	NO <input type="checkbox"/>



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Are you installing an electric plug with the TRU replacement?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Vocation (Type of goods typically transported – Choose One From The List Below): <i>“Goods” are defined as having the same meaning in Commercial Code, Section 2105, which essentially requires that 1) the goods are movable; and 2) the goods being moved must be part of a transaction that involves a contract for the sale of the goods.</i>		
<input type="checkbox"/> Agriculture/Produce	<input type="checkbox"/> Dairy	<input type="checkbox"/> Poultry/Meat/Fish
<input type="checkbox"/> Restaurant/Grocery	<input type="checkbox"/> Flowers	<input type="checkbox"/> Other: _____

III. QUALIFYING TRAILER DOCUMENT REQUIREMENTS
The following documents and digital photographs are required for each Qualifying Trailer to complete your application:
<input type="checkbox"/> Photograph of Vehicle Identification Number (VIN)
<input type="checkbox"/> Copy of Qualifying Trailer Title or proof of ownership (Title must be free and clear of all liens)

IV. QUALIFYING TRU DOCUMENT REQUIREMENTS
The following documents and digital photographs are required for each Qualifying TRU to complete your application:
<input type="checkbox"/> Photograph of Engine Emission Control Label (must show model, model year, & power rating Number)
<input type="checkbox"/> Photograph of Engine Serial Number
<input type="checkbox"/> Photograph of Unit Label including the Serial
<input type="checkbox"/> Photograph of Engine Run Hours

V. QUALIFYING TRAILER INFORMATION (You may print this page several times if more than one truck/trailer is submitted in the application)			
Trailer VIN:			
Trailer domiciled address:			
Trailer Make:	TRU Engine Manufacturer:	TRU Unit Manufacturer:	
Trailer Model:	TRU Engine Model:	TRU Unit Model:	
Trailer Model Year:	TRU Engine Model Year:	TRU Unit Model Year:	
Engine Family Name:	TRU Engine Serial Number:	TRU Unit Serial Number:	
Engine Horsepower:	TRU Horsepower Rating:	TRU Engine Run Hours:	
		Diesel	Electric
Trailer TRU annual hours of operation (average over past 2 years)	HRS	HRS	Total HRS
Daily trailer TRU operation hours while parked at freight facility loading dock (average over past 2 years)	HRS	HRS	HRS
Daily trailer TRU operation hours while parked at freight facility parking spot (average over past 2 years)	HRS	HRS	HRS

VI. REPLACEMENT EQUIPMENT INFORMATION (IF INFORMATION IS AVAILABLE)			
Estimated project completion month:	Estimated TRU Cost (\$):		
Trailer TRU Engine Manufacturer:	Trailer TRU Unit Manufacturer:		
Trailer TRU Engine Model:	Trailer TRU Unit Model:		
Trailer TRU Engine Model Year:	Trailer TRU Unit Model Year:		
Trailer TRU Horsepower Rating:	Electric Standby , Hybrid-Electric or Electric-Only:		
		Diesel	Electric
Estimated future annual hours of operation of replacement TRU	HRS	HRS	Total HRS
Estimated future daily hours of operation while parked at freight facility loading dock	HRS	HRS	HRS
Estimated future daily hours of operation while parked at freight facility parking spot	HRS	HRS	HRS

* - Additional information on the TRU replacements will be collected at the time of installation.



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DECLARATION AND SIGNATURE PAGE

I am the owner of the qualifying trailer have the legal authority to apply for incentive funding for the entity described in this application, and agree to the following statement by signing below:

- I (equipment owner or Principal of the above named business) have reviewed the information provided in this application, including all supporting documentation, and certify the application information is true and correct, and that I meet the minimum requirements of the New York City Transport Refrigeration Unit Incentive Program;
- The program-funded TRU shall be placed into operation prior to the applicable operational deadline to remain eligible for funding. All work performed by the vendor and final acceptance of the up-fitted trailer by the Applicant must occur no later than June 15, 2018;
- To the best of my knowledge, neither the owner of the trailer(s) identified in this application has any outstanding violations or non-compliance with New York City or New York State regulations;
- Incentive funds will only be used to offset the cost of the TRU purchase;
- TRUs may **not** be purchased, received, or installed prior to New York City Transport Refrigeration Unit Incentive Program contract execution, and if followed, equipment owner shall assume all financial risk and is in no way assured program funds;
- I have all the information needed to understand what must be done to maintain eligibility for program funds. This includes retaining TRU ownership, keeping qualifying trailers in legal operating condition within New York, and maintaining TRUs in good condition;
- I understand that the program-funded TRUs are intended to remain in use on the qualified trailer for the duration of the useful life of the TRU unit. For purposes of this program TRU useful life is considered to be 25,000 to 30,000 hours for trailers;
- I understand that if approved by the TRU Program Administrator, Visual Inspections will be performed by a TRU Incentive Program Administrator member prior to qualifying TRU removal to verify eligibility and after installation of the new TRU to verify compliance;
- I understand that an incomplete or illegible application, including applications that are missing required documentation, may be rejected by the NYCDOT or the TRU Incentive Program Administrator at their discretion;
- I acknowledge that NYCDOT is subject to the Freedom of Information Act (FOIA) and may be required to release the information contained in the program application to third parties if required by State and Federal Public Record laws;
- Any additional non-program funding needed to complete the purchase of equipment for the project according to the proposed timeframe is reasonably available;
- I certify that the qualifying trailer is stationary and is not registered as an on-road vehicle;
- I understand, as an applicant, that incentive programs have limited funds and shall terminate upon depletion of program funding; I understand, as an applicant, that the program is being implemented at the discretion of the NYCDOT and any and all determinations are final and not subject to appeal;
- I understand that fleets that have received NYCDOT TRU incentive funding must complete semi-annual usage reporting for a period of 3 years and may be subject to semi-annual inspection by a TRU Incentive Program Administrator member for a period of 3 years. Information required and inspected will include: VIN, fuel consumption, hours of operation, TRU serial number and domiciled address. If on-site inspection is requested access to the TRU will be granted within a reasonable time and;
- Failure to comply with program use requirements will result in actions to recover program incentive funds

Signature of Authorized Official

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed. I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information for program participation, the data and information may be revised by the NYCDOT or the TRU Incentive Program Administrator for accuracy and that our acceptance of the letter of approval will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting participation agreement voidable. Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future New York City incentive awards.

Printed Name of Owner: _____

Title: _____

Signature of Owner: _____

Date: _____

Submit applications by:

Email: TRUincentive@tetratech.com

Mail: TRU Incentive Program
c/o Tetra Tech, Inc.
249 E. Ocean Blvd., Suite 325
Long Beach, CA 90803