



NYCDOT TRANSPORT REFRIGERATION UNIT (TRU) INCENTIVE PROGRAM APPLICATION

I. APPLICANT INFORMATION (REQUIRED INFORMATION)					
Applicant Name/Registered Owner (Equipment Owner)					
Business Name					
Street Address					
City		State		ZIP	
Authorized Contact Full Name				Title	
Phone			E-mail Address		
Truck Fleet Size: _____ Trailer Fleet Size: _____	<p><i>“Truck Fleet Size” means the number of vehicles that are registered to be driven on public highways and have a manufacturer’s gross vehicle weight rating of 10,000 pounds or greater that are under common ownership or control by a person or business.</i></p> <p><i>“Trailer Fleet Size” means the number of trailers owned by the applicant that are not registered as an on-road vehicle, and their primary use is cold storage.</i></p>				
Number of Trucks equipped with TRUs: _____					
Number of Trailers equipped with TRUs: _____					
<p>Important Program Information:</p> <ul style="list-style-type: none"> All completed applications will be considered on a first-come, first serve basis. Only applications that are considered complete will move forward. Original ink signature applications must be submitted for an application to be complete. Applications submitted with altered language or forms will be void. You may not order or purchase any TRU for the qualifying truck or qualifying trailer prior to obtaining approval from the New York City Department of Transportation (NYCDOT) or the TRU Incentive Program Administrator. Any awarded incentives will cover up to, but no more than, 50% of the TRU purchase price, or a maximum of \$10,000 per qualifying truck or trailer, whichever is less. Incentive amounts will be distributed to the program approved vendor upon vendor receipt of applicant’s matching funds; completion of a work certificate by the vendor; and applicant’s final acceptance of the TRU work performed on the truck or trailer. Completion of this application and submission of all supporting documentation does not guarantee that you will receive program funding. Maximum number of TRUs per applicant cannot exceed 50% of funds available or as an approved by the program administrator <p>Eligibility Criteria for Qualifying Truck:</p> <ul style="list-style-type: none"> Truck must be Class 3 to Class 8 with a Gross Vehicle Weight Rating (GVWR) of 10,001 pounds or greater Truck must have a 2007 U.S. EPA emission compliant or newer model year engine Proof of vehicle registration in New York City (Bronx, Brooklyn, Manhattan, Queens, or Staten Island) for the previous 12 months Truck must operate within New York City <p>Eligibility Criteria for Qualifying Trailer</p> <ul style="list-style-type: none"> Trailer must be stationary and not be registered as an on-road vehicle Trailer must have clear Title (proof of ownership is required) <p>Eligibility Criteria for Qualifying Transport Refrigeration Unit:</p> <ul style="list-style-type: none"> TRU must be operational and in service on the qualifying truck or trailer TRU engine must meet Tier 1 or Tier 2 emission standards TRU must not exceed 50 horsepower TRU must be scrapped upon replacement TRU inspection will be performed by the program administrator to verify eligibility <p>Replacement Transport Refrigeration Unit Must:</p> <ul style="list-style-type: none"> TRU must be purchased new and ownership retained for a minimum of 3 years TRU engine must meet Tier 4 Final or ultra-low- emission standards TRU must be electric standby, hybrid-electric or electric-only equipped TRU must include proof of manufacturer warranty TRU installation must be performed by an approved vendor TRU must not exceed 50 horsepower TRU inspection will be performed by the program administrator to verify compliance TRU must operate within New York City 					



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II. SUPPLEMENTAL INFORMATION - PLEASE ANSWER THE FOLLOWING QUESTIONS:

Number of TRUs to be replaced:		
Have you applied for any other grant programs for the trucks or trailers listed in the application?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
If yes, specify the grant program(s) that you applied to:		
Do you currently have electric plug-in capability at your freight facility loading dock?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Do you currently have electric plug-in capability at your freight facility parking spot?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Are you installing an electric plug with the TRU replacement?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Vocation (Type of goods typically transported – Choose One From The List Below): <i>“Goods” are defined as having the same meaning in Commercial Code, Section 2105, which essentially requires that 1) the goods are movable; and 2) the goods being moved must be part of a transaction that involves a contract for the sale of the goods.</i>		
<input type="checkbox"/> Agriculture/Produce	<input type="checkbox"/> Dairy	<input type="checkbox"/> Poultry/Meat/Fish
<input type="checkbox"/> Restaurant/Grocery	<input type="checkbox"/> Flowers	<input type="checkbox"/> Other: _____
Do you use a GPS or Automatic Vehicle Locator (AVL) system to monitor your truck fleet locations? Yes <input type="checkbox"/> No <input type="checkbox"/>		

III. QUALIFYING TRUCK DOCUMENT REQUIRMENTS (IF APPLYING FOR TRUCK TRU)

The following documents and digital photographs are required for each Qualifying Truck to complete your application:

<input type="checkbox"/> Copy of Qualifying Truck Vehicle Registration (12 months)	<input type="checkbox"/> Photograph of Vehicle Identification Number (VIN)
<input type="checkbox"/> Photograph of Engine Family Name (found on engine tag)	<input type="checkbox"/> Photograph of Gross Vehicle Weight Rating (GVWR)
<input type="checkbox"/> Photograph of Engine Serial Number (found on engine tag)	

IV. QUALIFYING TRAILER DOCUMENT REQUIREMENTS (IF APPLYING FOR TRAILER TRU)

The following documents and digital photographs are required for each Qualifying Trailer to complete your application:

- Photograph of Vehicle Identification Number (VIN)
- Copy of Qualifying Trailer Title or proof of ownership (Title must be free and clear of all liens)

V. QUALIFYING TRU DOCUMENT REQUIREMENTS

The following documents and digital photographs are required for each Qualifying TRU to complete your application:

- Photograph of Unit Label including the Serial Number
- Photograph of Engine Serial Number
- Photograph of Engine Emission Control Label (must show model, model year, & power rating)
- Photograph of Engine Run Hours



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VI. QUALIFYING TRUCK/TRAILER INFORMATION (You may print this page several times if more than one truck/trailer is submitted in the application)

Truck/Trailer VIN:				
Truck/Trailer domiciled address:				
Truck/Trailer Make:	TRU Engine Manufacturer:	TRU Unit Manufacturer:		
Truck /Trailer Model:	TRU Engine Model:	TRU Unit Model:		
Truck/Trailer Model Year:	TRU Engine Model Year:	TRU Unit Model Year:		
Truck Engine Family Name:	TRU Engine Serial Number:	TRU Unit Serial Number:		
Truck Engine Horsepower:	TRU Horsepower Rating:	TRU Engine Run Hours:		
		Diesel	Electric	Total
Truck/trailer TRU annual hours of operation (average over past 2 years)		HRS	HRS	HRS
Daily truck/trailer TRU operation hours while parked at freight facility loading dock (average over past 2 years)		HRS	HRS	HRS
Daily truck/trailer TRU operation hours while parked at freight facility parking spot (average over past 2 years)		HRS	HRS	HRS

VII. REPLACEMENT EQUIPMENT INFORMATION AND PROJECT FUNDING REQUEST FOR TRUCKS*

Estimated project completion date:	Estimated TRU cost (\$):			
Truck TRU Engine Manufacturer:	Truck TRU Unit Manufacturer:			
Truck TRU Engine Model:	Truck TRU Unit Model:			
Truck TRU Engine Model Year:	Truck TRU Unit Model Year:			
Truck TRU Horsepower Rating:	Electric Standby , Hybrid-Electric or Electric-Only:			
		Diesel	Electric	Total
Estimated future annual hours of operation of replacement TRU		HRS	HRS	HRS
Estimated future daily hours of operation while parked at freight facility loading dock		HRS	HRS	HRS
Estimated future daily hours of operation while parked at freight facility parking spot		HRS	HRS	HRS

VIII. REPLACEMENT EQUIPMENT INFORMATION AND PROJECT FUNDING REQUEST FOR TRAILERS*

Estimated project completion date:	Estimated TRU cost (\$):			
Trailer TRU Engine Manufacturer:	Trailer TRU Unit Manufacturer:			
Trailer TRU Engine Model:	Trailer TRU Unit Model:			
Trailer TRU Engine Model Year:	Trailer TRU Unit Model Year:			
Trailer TRU Horsepower Rating:	Electric Standby , Hybrid-Electric or Electric-Only:			
		Diesel	Electric	Total
Estimated future annual hours of operation of replacement TRU		HRS	HRS	HRS
Estimated future daily hours of operation while parked at freight facility loading dock		HRS	HRS	HRS
Estimated future daily hours of operation while parked at freight facility parking spot		HRS	HRS	HRS

* - Additional information on the TRU replacements will be collected at the time of installation.



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DECLARATION AND SIGNATURE PAGE

I am the owner of the qualifying truck/trailer have the legal authority to apply for incentive funding for the entity described in this application, and agree to the following statement by signing below:

- I (equipment owner or Principal of the above named business) have reviewed the information provided in this application, including all supporting documentation, and certify the application information is true and correct, and that I meet the minimum requirements of the New York City Transport Refrigeration Unit Incentive Program;
- The program-funded TRU shall be placed into operation prior to the applicable operational deadline to remain eligible for funding. All work performed by the vendor and final acceptance of the up-fitted truck/trailer by the Applicant must occur no later than June 30, 2017;
- To the best of my knowledge, neither the owner nor the trucks or trailers identified in this application has any outstanding violations or non-compliance with New York City or New York State regulations;
- Incentive funds will only be used to offset the cost of the TRU purchase;
- TRUs may **not** be purchased, received, or installed prior to New York City Transport Refrigeration Unit Incentive Program contract execution, and if followed, equipment owner shall assume all financial risk and is in no way assured program funds;
- I have all the information needed to understand what must be done to maintain eligibility for program funds. This includes meeting the maintaining registration or ownership; keeping qualifying trucks and trailers in legal operating condition within New York; and maintaining TRUs in good condition;
- I understand that the program-funded TRUs are intended to remain in use on the qualified truck or trailer for the duration of the useful life of the TRU unit. For purposes of this program TRU useful life is considered to be 20,000 to 25,000 hours for trucks and 25,000 to 30,000 hours for trailers;
- I understand that if approved by the TRU Program Administrator, Visual Inspections will be performed by a Transport Refrigeration Unit Incentive Program Administrator member prior to qualifying TRU removal to verify eligibility and after installation of the new TRU to verify compliance;
- I understand that an incomplete or illegible application, including applications that are missing required documentation, may be rejected by the NYCDOT or the Transport Refrigeration Unit Incentive Program Administrator at their discretion;
- I acknowledge that NYCDOT is subject to the Freedom of Information Act (FOIA) and may be required to release the information contained in the program application to third parties if required by State and Federal Public Record laws;
- Any additional non-program funding needed to complete the purchase of equipment for the project according to the proposed timeframe is reasonably available;
- I certify that the qualifying trailer is stationary and is not registered as an on-road vehicle;
- I understand, as an applicant, that incentive programs have limited funds and shall terminate upon depletion of program funding;
- I understand, as an applicant, that the program is being implemented at the discretion of the NYCDOT and any and all determinations are final and not subject to appeal; and
- I understand that fleets that have received NYCDOT TRU incentive funding must complete semi-annual usage reporting for a period of 3 years. Information required will include: VIN, fuel consumption, hours of operation, TRU serial number and domiciled address.

Signature of Authorized Official

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed. I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating these forms and information for program participation, the data and information may be revised by the NYCDOT or the Transport Refrigeration Unit Incentive Program Administrator for accuracy and that our acceptance of the letter of approval will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting participation agreement voidable. Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future New York City incentive awards.

Printed Name of Owner: _____ Title: _____

Signature of Owner: _____ Date: _____

Submit applications by:

Email: TRUincentive@tetratech.com

Mail: TRU Incentive Program
c/o Tetra Tech, Inc.
249 E. Ocean Blvd., Suite 325
Long Beach, CA 90803

For additional information or assistance, please contact us by:

Email: TRUincentive@tetratech.com | Phone: 877-310-2733